



Attorney Docket No.: 301101-00009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peng Chen et al.

Serial No.: 10/091,046

Filed: March 5, 2002

Art Unit: 3624

Confirmation No.: 6492

Examiner: Unknown

Title: AUTOMATICALLY ALLOCATING AND
REBALANCING DISCRETIONARY PORTFOLIOS

CUSTOMER NUMBER: 28465

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 223 13-1450

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Name

April 16 2004
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GROUP 3600

PRELIMINARY AMENDMENT

Dear Sir:

Please enter the following claim amendments before considering the merits of this case.

Please cancel Claims 1-10, 21-29, 35, 37-50 and 60-63, without prejudice or disclaimer, and
amend the claims as shown on the following pages. This amendment complies with 37 C.F.R.
§1.121(c).

1. - 10. (Cancelled)